RISKS
INTERNATIONAL

Outsourced Compliance Officer

A certified compliance officer with real dedication and the independence the rules demand — without adding a headcount.

What it is, and what it solves

A certified compliance officer with real dedication and the independence the rules demand — without adding a headcount.

The role exists because regulation requires a named individual to be accountable. The problem arises when a company lacks the volume for a full-time post and resolves it by appointing the accountant or the administrative manager «in addition to their duties». That breaks the requirement that matters most: independence. Someone reporting to sales cannot control sales.

The second problem is continuity. The officer has calendar-bound obligations — board reports, STRs to the FIU, nil-reporting, annual training — and when the role is an add-on, those dates slip. An unfiled nil report is a breach anyone can verify without investigating anything.

What's included

01 Appointment and registration: a certified professional appointed by the competent body and registered with the relevant supervisory authority, together with a deputy.

02 Running the system: due diligence, red-flag analysis, decisions on unusual transactions and keeping the risk matrix current.

03 Calendar-bound reporting: STRs to the FIU (UIAF) where applicable, nil reports where there are no suspicious transactions, and any objective reports that apply.

04 Periodic written reports to the board, covering system status, findings and decisions requiring authorisation.

05 Support during supervisory inspections and responses to formal requests, with evidence organised in advance.

06 Annual training programme, differentiated by role, with attendance records and assessment.

How it works

STEP 1

Appointment and registration

The competent body appoints the officer and deputy, who are registered with the relevant supervisory authority.

STEP 2

Running the system

Due diligence, red-flag analysis, decisions on unusual transactions and an up-to-date risk matrix.

STEP 3

Reporting and training

STRs or nil reports filed with the FIU within their deadlines, plus the annual role-based training programme.

STEP 4

Report to the board

Periodic and written, with findings and the decisions requiring authorisation from the governing body.

What your organisation gains

01 Independence stops being a problem

The officer reports to no department of the company — precisely the requirement broken by appointing the accountant «in addition to their duties».

02 Deadlines are met because they are the job

Board reports, STRs, nil reports and annual training are calendar-bound, and here they do not compete with another role.

03 A deputy is appointed

Regulation requires it and continuity needs it: an absence does not leave the system without a registered officer.

04 Inspections are met with evidence ready

Support during inspections and formal requests starts from an organised file, not a last-minute search.

05 No headcount

You contract the dedication the company needs, remote or on-site depending on the plan, without the fixed cost of a full-time post.

What you receive

- Appointment record and registration with the supervisory authority.
- Periodic reports to the board.
- Evidence of reports filed with the FIU (UIAF).
- Updated risk matrix.
- Training programme and attendance records.

Service level agreements

INDICATOR	COMMITMENT	HOW IT IS MEASURED
Officer's dedication	As per the contracted plan: part-time or full-time, remote or on-site.	Non-exclusive, except in plans that expressly include exclusivity.
Periodic report to the governing body	As per the protocol agreed with the board or the legal representative.	Written report covering system status, findings and decisions.
Filings with the FIU (UIAF)	Within the periods set by the rule applicable to each report.	These are statutory deadlines, not a commercial commitment: the service undertakes to meet them.
Response time to a supervisory request	PENDING VALIDATION	—

PENDING VALIDATION

Fields marked «pending validation» are not an oversight in this document: they are commitments the firm has not yet put in writing. They are published in plain sight rather than filled in with a plausible figure, because an invented service level agreement binds just as much as an agreed one.

Purpose and conditions

Services are provided for the sole purpose of preventing, monitoring and controlling money laundering, terrorist financing, proliferation financing, transnational bribery and corruption.

This document describes the scope of the service. Commercial and contractual terms are set out in the quotation, which carries its own legal provisions.

Personal data is processed under Colombian Law 1581 of 2012. Data protection channel: habeasdata@risksint.com

RISKS INTERNATIONAL

Request your quote at risksint.org/precios/oficial-de-cumplimiento

MORE INFORMATION

VERIFY THIS DOCUMENT

Fingerprint F7E0 99D0 4D35

RISKS INTERNATIONAL S.A.S.
NIT 900352786
Calle 25B # 39A-30, Bogotá, Colombia
+57 (601) 794 1834

DOCUMENT OFICIAL-DE-CUMPLIMIENTO-EN-V1 · VERSION 1 · ISSUED ON SEPTEMBER 23, 2026